

---

# A BOUNDEDNESS THEOREM FOR NEARBY SLOPES OF HOLONOMIC $\mathcal{D}$ -MODULES

by

Jean-Baptiste Teyssier

---

**Abstract.** — Using twisted nearby cycles, we define a new notion of slopes for complex holonomic  $\mathcal{D}$ -modules. We prove a boundedness result for these slopes, study their functoriality and use them to characterize regularity. For a family of (possibly irregular) algebraic connections  $\mathcal{E}_t$  parametrized by a smooth curve, we deduce under natural conditions an explicit bound for the usual slopes of the differential equation satisfied by the family of irregular periods of the  $\mathcal{E}_t$ . This generalizes the regularity of the Gauss-Manin connection proved by Katz and Deligne.

Let  $V$  be a smooth algebraic variety over a finite field of characteristic  $p > 0$ , and let  $U$  be an open subset in  $V$  such that  $D := V \setminus U$  is a normal crossing divisor. Let  $\ell$  be a prime number different from  $p$ . Using restriction to curves, Deligne defined [Del11] a notion of  $\ell$ -adic local system on  $U$  with bounded ramification along  $D$ . Such a definition is problematic to treat functoriality questions: the direct image of a local system is not a local system any more, duality does not commute with restriction in general. In this paper, we investigate the characteristic 0 aspect of this problem, that is the

**Question 1.** — *Let  $X$  be a complex manifold. Can one define a notion of holonomic  $\mathcal{D}_X$ -module with bounded irregularity which has good functoriality properties?*

In dimension 1, to bound the irregularity number of a  $\mathcal{D}$ -module with given generic rank amounts to bound its slopes. Let  $\mathcal{M}$  be a holonomic  $\mathcal{D}_X$ -module and let  $Z$  be a hypersurface of  $X$ . Mebkhout [Meb90] showed that the *irregularity complex*  $\mathrm{Irr}_Z(\mathcal{M})$  of  $\mathcal{M}$  along  $Z$  is a perverse sheaf endowed with a  $\mathbb{R}_{>1}$  increasing locally finite filtration by sub-perverse sheaves  $\mathrm{Irr}_Z(\mathcal{M})(r)$ . If the support of the  $r$ -th graded piece of  $(\mathrm{Irr}_Z(\mathcal{M})(r))_{r>1}$  is not empty, we say that  $1/(r-1)$  is an *analytic slope* of  $\mathcal{M}$  along  $Z$ <sup>(1)</sup>.

The existence of a uniform bound in  $Z$  is not clear a priori. We thus formulate the following

---

<sup>(1)</sup>The transformation  $r \rightarrow 1/(r-1)$  is here so that in dimension 1, the analytic slopes correspond to the classical slopes defined via Newton polygons.

**Conjecture 1.** — *Locally on  $X$ , the set of analytic slopes of a holonomic  $\mathcal{D}_X$ -module is bounded.*

This statement means that for a holonomic  $\mathcal{D}_X$ -module  $\mathcal{M}$ , one can find for every point in  $X$  a neighbourhood  $U$  and a constant  $C > 0$  such that the analytic slopes of  $\mathcal{M}$  along any germ of hypersurface in  $U$  are  $\leq C$ . The main obstacle to the proof of conjecture 1 lies in the behavior of analytic slopes with respect to proper push-forward. On the other hand, Laurent defined *algebraic slopes* using his theory of micro-characteristic varieties [Lau87]. From Laurent and Mebkhout work [LM99], we know that modulo the transformation  $r \rightarrow 1/(r-1)$ , the set of analytic slopes of a holonomic  $\mathcal{D}$ -module  $\mathcal{M}$  along  $Z$  is equal to the set of algebraic slopes of  $\mathcal{M}$  along  $Z$ . Since micro-characteristic varieties are invariant by duality, we deduce that analytic slopes are invariant by duality.

The aim of this paper is to define a third notion of slopes and to investigate some of its properties. The main idea lies in the observation that for a germ  $\mathcal{M}$  of  $\mathcal{D}_{\mathbb{C}}$ -module at  $0 \in \mathbb{C}$ , *the slopes of  $\mathcal{M}$  at 0 are encoded in the vanishing of certain nearby cycles*. We show in 2.3.1 that  $r \in \mathbb{Q}_{\geq 0}$  is a slope for  $\mathcal{M}$  at 0 if and only if one can find a germ  $N$  of meromorphic connection at 0 with slope  $r$  such that  $\psi_0(\mathcal{M} \otimes N) \neq 0$ .

We thus introduce the following definition. Let  $X$  be a complex manifold and let  $\mathcal{M}$  be an object of the derived category  $\mathcal{D}_{\text{hol}}^b(X)$  of complexes of  $\mathcal{D}_X$ -modules with bounded and holonomic cohomology. Let  $f \in \mathcal{O}_X$ . We denote by  $\psi_f$  the nearby cycle functor<sup>(2)</sup> associated to  $f$ . We define the *nearby slopes of  $\mathcal{M}$  associated to  $f$*  to be the set  $\text{Sl}_f^{\text{nb}}(\mathcal{M})$  complement in  $\mathbb{Q}_{\geq 0}$  of the set of rationals  $r \geq 0$  such that for every germ  $N$  of meromorphic connection at 0 with slope  $r$ , we have

$$(0.0.1) \quad \psi_f(\mathcal{M} \otimes f^+ N) \simeq 0$$

Let us observe that the left-hand side of (0.0.1) depends on  $N$  only via  $\widehat{\mathcal{O}}_{\mathbb{C},0} \otimes_{\mathcal{O}_{\mathbb{C},0}} N$ , and that nearby slopes are sensitive to the non reduced structure of  $\text{div } f$ , whereas analytic and algebraic slopes only see the support of  $\text{div } f$ .

Twisted nearby cycles appear for the first time in the algebraic context in [Del07]. Deligne proves in *loc. it.* that for a given function  $f$ , the set  $\text{Sl}_f^{\text{nb}}(\mathcal{M})$  is finite.

The main result of this paper is an affirmative answer to conjecture 1 for nearby slopes, that is the

**Theorem 1.** — *Locally on  $X$ , the set of nearby slopes of a holonomic  $\mathcal{D}$ -module is bounded.*

This statement means that for a holonomic  $\mathcal{D}_X$ -module  $\mathcal{M}$ , one can find for every point in  $X$  a neighbourhood  $U$  and a constant  $C > 0$  such that the nearby slopes of  $\mathcal{M}$  associated to any  $f \in \mathcal{O}_U$  are  $\leq C$ . For meromorphic connections with good formal structure, we show the following refinement:

---

<sup>(2)</sup>For general references on the nearby cycle functor, let us mention [Kas83],[Mal83],[MS89] and [MM04].

**Theorem 2.** — *Let  $\mathcal{M}$  be a meromorphic connection with good formal structure. Let  $D$  be the pole locus of  $\mathcal{M}$  and let  $D_1, \dots, D_n$  be the irreducible components of  $D$ . We denote by  $r_i(\mathcal{M}) \in \mathbb{Q}_{\geq 0}$  the highest generic slope of  $\mathcal{M}$  along  $D_i$ . Then, the nearby slopes of  $\mathcal{M}$  are  $\leq r_1(\mathcal{M}) + \dots + r_n(\mathcal{M})$ .*

The main tool used in the proof of theorem 1 is a structure theorem for formal meromorphic connections first conjectured in [CS89], studied by Sabbah [Sab00] and proved by Kedlaya [Ked10][Ked11] in the context of excellent schemes and analytic spaces, and independently by Mochizuki [Moc09][Moc11b] in the algebraic context.

Let us give some details on the strategy of the proof of theorem 1. A dévissage carried out in 3.1 allows one to suppose that  $\mathcal{M}$  is a meromorphic connection. Using Kedlaya-Mochizuki theorem, one reduces further the proof to the case where  $\mathcal{M}$  has good formal structure. We are thus left to prove theorem 2. We resolve the singularities of  $Z$ . The problem that occurs at this step is that a randomly chosen embedded resolution  $p : \tilde{X} \rightarrow X$  will increase the generic slopes of  $\mathcal{M}$  in a way that cannot be controlled. We show in 3.2.2 that a fine version of embedded resolution [BM89] allows to control the generic slopes of  $p^+\mathcal{M}$  in terms of the sum  $r_1(\mathcal{M}) + \dots + r_n(\mathcal{M})$  and the multiplicities of  $p^*Z$ . A crucial tool for this is a theorem [Sab00, I 2.4.3] proved by Sabbah in dimension 2 and by Mochizuki [Moc11a, 2.19] in any dimension relating the good formal models appearing at a given point with the generic models on the divisor locus. Using a vanishing criterion 2.4.1, one finally proves (0.0.1) for  $r > r_1(\mathcal{M}) + \dots + r_n(\mathcal{M})$ .

Let  $\mathcal{M} \in \mathcal{D}_{\text{hol}}^b(X)$  and let us denote by  $\mathbb{D}\mathcal{M}$  the dual complex of  $\mathcal{M}$ . Nearby slopes satisfy the following functorialities

**Theorem 3.** — (i) *For every  $f \in \mathcal{O}_X$ , we have*

$$\text{SI}_f^{\text{nb}}(\mathbb{D}\mathcal{M}) = \text{SI}_f^{\text{nb}}(\mathcal{M})$$

(ii) *Let  $p : X \rightarrow Y$  be a proper morphism and let  $f \in \mathcal{O}_Y$  such that  $p(X)$  is not contained in  $f^{-1}(0)$ . Then*

$$\text{SI}_f^{\text{nb}}(p_+\mathcal{M}) \subset \text{SI}_{fp}^{\text{nb}}(\mathcal{M})$$

Let us observe that (ii) is a direct application of the compatibility of nearby cycles with proper direct image [MS89].

It is an interesting problem to try to compare nearby slopes and analytic slopes. This question won't be discussed in this paper, but we characterize regular holonomic  $\mathcal{D}$ -modules using nearby slopes.

**Theorem 4.** — *A complex  $\mathcal{M} \in \mathcal{D}_{\text{hol}}^b(X)$  is regular if and only if for every quasi-finite morphism  $\rho : Y \rightarrow X$  with  $Y$  a complex manifold, the set of nearby slopes of  $\rho^+\mathcal{M}$  is contained in  $\{0\}$ .*

For an other characterization of regularity (harder to deal with in practice) using derived endomorphisms, we refer to [Tey14].

Let us give an application of the preceding results. Let  $U$  be a smooth complex algebraic variety and let  $\mathcal{E}$  be an algebraic connection on  $U$ . We denote by  $H_{\text{dR}}^k(U, \mathcal{E})$  the  $k^{\text{th}}$  de Rham cohomology group of  $\mathcal{E}$ , and by  $\mathcal{V}$  the local system of horizontal

sections of  $\mathcal{E}^{\text{an}}$  on  $U^{\text{an}}$ . If  $\mathcal{E}$  is regular, Deligne proved [Del70] that the canonical comparison morphism

$$(0.0.2) \quad H_{\text{dR}}^k(U, \mathcal{E}) \longrightarrow H^k(U^{\text{an}}, \mathcal{V})$$

is an isomorphism. If  $\mathcal{E}$  is the trivial connection, this is due to Grothendieck [Gro66]. In the irregular case, (0.0.2) is no longer an isomorphism. It can happen that  $H_{\text{dR}}^k(U, \mathcal{E})$  is non zero and  $H^k(U^{\text{an}}, \mathcal{V})$  is zero, which means that there are not enough topological cycles in  $U^{\text{an}}$ . The *rapid decay homology*  $H_k^{\text{rd}}(U, \mathcal{E}^*)$  needed to remedy this problem appears in dimension one in [BE04] and in higher dimension in [Hie07][Hie09]. It includes cycles drawn on a compactification of  $U^{\text{an}}$  taking into account the asymptotic at infinity of the solutions of the dual connection  $\mathcal{E}^*$ . By Hien duality theorem, we have a perfect pairing

$$(0.0.3) \quad \int : H_{\text{dR}}^k(U, \mathcal{E}) \times H_k^{\text{rd}}(U, \mathcal{E}^*) \longrightarrow \mathbb{C}$$

For  $\omega \in H_{\text{dR}}^k(U, \mathcal{E})$  and  $\gamma \in H_k^{\text{rd}}(U, \mathcal{E}^*)$ , we call  $\int_{\gamma} \omega$  a *k-period for  $\mathcal{E}$* <sup>(3)</sup>.

Let  $f : X \longrightarrow S$  be a proper and generically smooth morphism, where  $X$  denotes an algebraic variety and  $S$  denotes a neighbourhood of 0 in  $\mathbb{A}_{\mathbb{C}}^1$ . Let  $U$  be the complement of a normal crossing divisor  $D$  of  $X$  such that for every  $t \neq 0$  close enough to 0,  $D_t$  is a normal crossing divisor of  $X_t$ . Let  $\mathcal{E}$  be an algebraic connection on  $U$ . Let us denote by  $D_1, \dots, D_n$  the irreducible components of  $D$  meeting  $f^{-1}(0)$  and let  $r_i(\mathcal{E})$  be the highest generic slope of  $\mathcal{E}$  along  $D_i$ .

As an application of theorem 2, we prove the following

**Theorem 5.** — *If  $\mathcal{E}$  has good formal structure along  $D$  and if the fibers  $X_t$ ,  $t \neq 0$  of  $f$  are non characteristic at infinity<sup>(4)</sup> for  $\mathcal{E}$ , then the  $k$ -period vectors of the family  $(\mathcal{E}_t)_{t \neq 0}$  are the analytic solutions of the system of differential equations associated to  $\mathcal{H}^k f_+ \mathcal{E}$ . The slopes at 0 of this system are  $\leq r_1(\mathcal{E}) + \dots + r_n(\mathcal{E})$ .*

In the case where  $\mathcal{E}$  is the trivial connection, we recover that the periods of a proper generically smooth family of algebraic varieties are solutions of a regular singular differential equation with polynomial coefficients [Kat70][Del70].

The role played in this paper by nearby cycles has Verdier specialization [Ver83] and moderate nearby cycles as  $\ell$ -adic counterparts. For a discussion of the problems arising in the  $\ell$ -adic case, we refer to [Tey15a].

Conjecture 1 first appears in [Tey15b]. This paper grew out an attempt to prove it. I thank Pierre Deligne, Zoghman Mebkhout and Claude Sabbah for valuable comments on this manuscript and Marco Hien for mentioning [HR08], which inspired me a statement in the spirit of theorem 5 and reignited my interest for a proof of theorem 1. This work has been achieved with the support of Freie Universität/Hebrew University of Jerusalem joint post-doctoral program and ERC 226257 program. I thank H el ene Esnault and Yakov Varshavsky for their support.

<sup>(3)</sup>note that this terminology is abusive, since we don't consider any rational structure on the cohomology spaces.

<sup>(4)</sup>this is for example the case if  $D$  is smooth and if the fibers of  $f$  are transverse to  $D$ .

## 1. Notations

We collect here a few definitions used all along this paper. The letter  $X$  will denote a complex manifold.

**1.1.** For a morphism  $f : Y \rightarrow X$  with  $Y$  a complex manifold, we denote by  $f^+ : D_{\text{hol}}^b(\mathcal{D}_X) \rightarrow D_{\text{hol}}^b(\mathcal{D}_Y)$  and  $f_+ : D_{\text{hol}}^b(\mathcal{D}_Y) \rightarrow D_{\text{hol}}^b(\mathcal{D}_X)$  the inverse image and direct image functors for  $\mathcal{D}$ -modules. We note  $f^\dagger$  for  $f^+[\dim Y - \dim X]$ .

**1.2.** Let  $\mathcal{M} \in \mathcal{D}_{\text{hol}}^b(X)$  and  $f \in \mathcal{O}_X$ . From  $\mathcal{H}^k \psi_f(\mathcal{M} \otimes f^+ N) \simeq \psi_f(\mathcal{H}^k \mathcal{M} \otimes f^+ N)$  for every  $k$ , we deduce

$$(1.2.1) \quad \text{Sl}_f^{\text{nb}}(\mathcal{M}) = \bigcup_k \text{Sl}_f^{\text{nb}}(\mathcal{H}^k \mathcal{M})$$

Let us define  $\text{Sl}^{\text{nb}}(\mathcal{M}) := \bigcup_{f \in \mathcal{O}_X} \text{Sl}_f^{\text{nb}}(\mathcal{M})$ . The elements of  $\text{Sl}^{\text{nb}}(\mathcal{M})$  are the *nearby slopes* of  $\mathcal{M}$ . For  $S \subset \mathbb{Q}_{\geq 0}$ , we denote by  $\mathcal{D}_{\text{hol}}^b(X)_S$  the full subcategory of  $\mathcal{D}_{\text{hol}}^b(X)$  of complexes whose nearby slopes are in  $S$ .

**1.3.** Let us denote by  $\text{DR} : D_{\text{hol}}^b(\mathcal{D}_X) \rightarrow D_c^b(X, \mathbb{C})$  the *de Rham functor*<sup>(5)</sup> and by  $\text{Sol} : D_{\text{hol}}^b(\mathcal{D}_X) \rightarrow D_c^b(X, \mathbb{C})$  the *solution functor* for holonomic  $\mathcal{D}_X$ -modules.

**1.4.** For every analytic subspace  $Z$  in  $X$ , we denote by  $i_Z : Z \hookrightarrow X$  the canonical inclusion. The *local cohomology triangle* for  $Z$  and  $\mathcal{M} \in \mathcal{D}_{\text{hol}}^b(X)$  reads

$$(1.4.1) \quad R\Gamma_{[Z]}\mathcal{M} \longrightarrow \mathcal{M} \longrightarrow R\mathcal{M}(*Z) \xrightarrow{+1}$$

It is a distinguished triangle in  $D_{\text{hol}}^b(\mathcal{D}_X)$ . The complex  $R\Gamma_{[Z]}\mathcal{M}$  is the *local algebraic cohomology* of  $\mathcal{M}$  along  $Z$  and  $R\mathcal{M}(*Z)$  is the *localization* of  $\mathcal{M}$  along  $Z$ .

**1.5.** Let  $\mathcal{M}$  be a germ of meromorphic connection at the origin of  $\mathbb{C}^n$ . Let  $D$  be the pole locus of  $\mathcal{M}$ . For  $x \in D$ , we define  $\widehat{\mathcal{M}}_x := \widehat{\mathcal{O}}_{\mathbb{C}^n, x} \otimes_{\mathcal{O}_{\mathbb{C}^n, x}} \mathcal{M}$ . We say that  $\mathcal{M}$  has *good formal structure* if

- (1)  $D$  is a normal crossing divisor.
- (2) For every  $x \in D$ , one can find coordinates  $(x_1, \dots, x_n)$  centred at  $x$  with  $D$  defined by  $x_1 \cdots x_i = 0$ , and an integer  $p \geq 1$  such that if  $\rho$  is the morphism  $(x_1, \dots, x_n) \rightarrow (x_1^p, \dots, x_i^p, x_{i+1}, \dots, x_n)$ , we have a decomposition

$$(1.5.1) \quad \rho^+ \widehat{\mathcal{M}}_x \simeq \bigoplus_{\varphi \in \mathcal{O}_{\mathbb{C}^n}(*D)/\mathcal{O}_{\mathbb{C}^n}} \mathcal{E}^\varphi \otimes \mathcal{R}_\varphi$$

where  $\mathcal{E}^\varphi = (\widehat{\mathcal{O}}_{\mathbb{C}^n, x}(*D), d + d\varphi)$  and  $\mathcal{R}_\varphi$  is a meromorphic connection with regular singularity along  $D$ .

- (3) For all  $\varphi \in \mathcal{O}_{\mathbb{C}^n}(*D)/\mathcal{O}_{\mathbb{C}^n}$  contributing to (1.5.1), we have  $\text{div } \varphi \leq 0$ .

<sup>(5)</sup>In this paper, we follow Hien's convention [Hie09] according to which for a holonomic module  $\mathcal{M}$ , the complex  $\text{DR } \mathcal{M}$  is concentrated in degrees  $0, \dots, \dim X$ .

Let us remark that classically, one asks for condition (3) to be also true for the differences of two  $\varphi$  intervening in (1.5.1). We won't impose this extra condition in this paper.

**1.6.** Let  $\mathcal{M}$  be a meromorphic connection on  $X$  such that the pole locus  $D$  of  $\mathcal{M}$  has only a finite number of irreducible components  $D_1, \dots, D_n$ . For every  $i = 1, \dots, n$ , we denote by  $r_{D_i}(\mathcal{M})$  the highest generic slope of  $\mathcal{M}$  along  $D_i$ . We define the *divisor of highest generic slopes of  $\mathcal{M}$*  by

$$r_{D_1}(\mathcal{M})D_1 + \dots + r_{D_n}(\mathcal{M})D_n \in Z(X)_{\mathbb{Q}}$$

## 2. Preliminaries on nearby cycles in the case of good formal structure

**2.1.** Let  $n$  be an integer and take  $i \in \mathbb{N}^{\llbracket 1, n \rrbracket}$ . The *support of  $i$*  is the set of  $k \in \llbracket 1, n \rrbracket$  such that  $i_k \neq 0$ . If  $E \subset \llbracket 1, n \rrbracket$ , we define  $i_E$  by  $i_{Ek} = i_k$  for  $k \in E$  and  $i_{Ek} = 0$  if  $k \notin E$ .

**2.2.** Let  $R$  be a regular  $\mathbb{C}((t))$ -differential module, and take  $\varphi \in \mathbb{C}[t^{-1}]$ . For every  $n \geq 1$ , we define  $\rho : t \rightarrow t^p = x$  and

$$\text{El}(\rho, \varphi, R) := \rho_+(\mathcal{E}^\varphi \otimes R)$$

If  $R$  is the trivial rank 1 module, we will use the notation  $\text{El}(\rho, \varphi)$ . In general,  $\text{El}(\rho, \varphi, R)$  has slope  $\text{ord } \varphi/p$ . The  $\mathbb{C}((x))$ -modules of type  $\text{El}(\rho, \varphi, R)$  for variable  $(\rho, \varphi, R)$  are called *elementary modules*. From [Sab08, 3.3], we know that every  $\mathbb{C}((x))$ -differential module can be written as a direct sum of elementary modules.

**2.3. Dimension 1.** — In this paragraph, we work in a neighbourhood of the origin  $0 \in \mathbb{C}$ . Let  $x$  be a coordinate on  $\mathbb{C}$ . Take  $p \geq 1$  and define  $\rho : x \rightarrow t = x^p$ .

**Proposition 2.3.1.** — *Let  $\mathcal{M}$  be a germ of holonomic  $\mathcal{D}$ -module at the origin. Let  $r > 0$  be a rational number. The following conditions are equivalent*

- (1) *The rational  $r$  is not a slope for  $\mathcal{M}$  at 0.*
- (2) *For every germ  $N$  of meromorphic connection of slope  $r/p$ , we have*

$$\psi_\rho(\mathcal{M} \otimes \rho^+ N) \simeq 0$$

*Proof.* — Since  $\psi$  is not sensitive to localization and formalization, one can work formally at 0 and suppose that  $\mathcal{M}$  and  $N$  are differential  $\mathbb{C}((x))$ -modules.

Let us prove (2)  $\implies$  (1) by contraposition. Define  $\rho' : u \rightarrow u^{p'} = x$ ,  $\varphi(u) \in \mathbb{C}[u^{-1}]$  with  $q = \text{ord } \varphi(u)$  and  $R$  a  $\mathbb{C}((u))$ -regular module such that  $\text{El}(\rho', \varphi(u), R)$  is a non zero elementary factor 2.2 of  $\mathcal{M}$  with slope  $r = q/p$ . Define

$$N := \rho_+ \text{El}(\rho', -\varphi(u)) = \text{El}(\rho\rho', -\varphi(u))$$

The module  $N$  has slope  $q/pp' = r/p$ . A direct factor of  $\psi_\rho(\mathcal{M} \otimes \rho^+ N)$  is

$$\begin{aligned} \psi_\rho(\rho'_+(\mathcal{E}^\varphi \otimes R) \otimes \rho^+ N) &\simeq \psi_\rho(\rho'_+(\mathcal{E}^\varphi \otimes R) \otimes \rho^+ \text{El}(\rho\rho', -\varphi(u))) \\ &\simeq \psi_\rho(\rho'_+(\mathcal{E}^\varphi \otimes R \otimes (\rho\rho')^+ \text{El}(\rho\rho', -\varphi(u)))) \\ &\simeq \psi_{\rho\rho'}(\mathcal{E}^\varphi \otimes R \otimes (\rho\rho')^+ \text{El}(\rho\rho', -\varphi(u))) \end{aligned}$$

where the last identification comes from the compatibility of  $\psi$  with proper direct image. By [Sab08, 2.4], we have

$$(\rho\rho')^+ \text{El}(\rho\rho', -\varphi(u)) \simeq \bigoplus_{\zeta^{p\rho'}=1} \mathcal{E}^{-\varphi(\zeta u)}$$

So  $\psi_{\rho\rho'}R$  is a direct factor of  $\psi_\rho(\mathcal{M} \otimes \rho^+N)$  of rank  $np(\text{rg } R) > 0$ , and (2)  $\implies$  (1) is proved.

Let us prove (1)  $\implies$  (2). Let  $N$  be a  $\mathbb{C}((t))$ -differential module of slope  $r/p$ . Then  $\rho^+N$  has slope  $r$ . Thus, the slopes of  $\mathcal{M} \otimes \rho^+N$  are  $> 0$ . Hence, it is enough to show the following

**Lemma 2.3.2.** — *Let  $M$  be a  $\mathbb{C}((x))$ -differential module whose slopes are  $> 0$ . Then, we have  $\psi_\rho M \simeq 0$ .*

By Levelt-Turrittin decomposition, we are left to study the case where  $M$  is a direct sum of modules of type  $\mathcal{E}^\varphi \otimes R$ , where  $\varphi \in \mathbb{C}[x^{-1}]$  and where  $R$  is a regular  $\mathbb{C}((x))$ -module. The hypothesis on the slopes of  $M$  implies  $\varphi \neq 0$ , and the expected vanishing is standard.  $\square$

**2.4. A vanishing criterion.** — Let  $\mathcal{M}$  be a germ of meromorphic connection at the origin  $0 \in \mathbb{C}^n$ . We suppose that  $\mathcal{M}$  has good formal structure at 0. Let  $D$  be the pole locus of  $\mathcal{M}$ . Let  $\rho_p$  be a ramification of degree  $p$  along the components of  $D$  as in (1.5.1).

**Proposition 2.4.1.** — *Let  $f \in \mathcal{O}_{\mathbb{C}^n, 0}$ . Let us define  $Z := \text{div } f$  and suppose that  $|Z| \subset D$ . We suppose that for every irreducible component  $E$  of  $|Z|$ , we have*

$$r_E(\mathcal{M}) \leq rv_E(f)$$

*Then for every germ  $N$  of meromorphic connection at 0 with slopes  $> r$ , we have*

$$(2.4.2) \quad \psi_f(\mathcal{M} \otimes f^+N) \simeq 0$$

*in a neighbourhood of 0.*

*Proof.* — Let us choose local coordinates  $(x_1, \dots, x_n)$  and  $a \in \mathbb{N}^n$  such that  $f$  is the function  $x \longrightarrow x^a$ . Take  $N$  with slopes  $> r$ . One can always suppose that  $N$  is a  $\mathbb{C}((t))$ -differential module and  $p = qk$  where  $\rho' : t \longrightarrow t^k$  decomposes  $N$ .

The morphism  $\rho_p$  is a finite cover away from  $D$ , so the canonical adjunction morphism

$$(2.4.3) \quad \rho_{p+\rho_p^+} \mathcal{M} \longrightarrow \mathcal{M}$$

is surjective away from  $D$ . So the cokernel of (2.4.3) has support in  $D$ . From [Meb04, 3.6-4], we know that both sides of (2.4.3) are localized along  $D$ . So (2.4.3) is surjective. We thus have to prove

$$(2.4.4) \quad \psi_{f\rho_p}(\rho_p^+ \mathcal{M} \otimes (f\rho_p)^+ N) \simeq 0$$

Since  $|Z| \subset D$ , we have  $f\rho_p = \rho'f\rho_q$ . So the left hand side of (2.4.4) is a direct sum of  $k$  copies of

$$(2.4.5) \quad \psi_{f\rho_q}(\rho_p^+ \mathcal{M} \otimes (f\rho_p)^+ N)$$

We thus have to prove that (2.4.5) is 0 in a neighbourhood of 0. We have

$$(f\rho_p)^+ N \simeq (f\rho_q)^+ \rho'^+ N$$

with  $\rho'^+ N$  decomposed with slopes  $> rk$ . The zero locus of  $f\rho_q$  is  $|Z|$ , and if  $E$  is an irreducible component of  $|Z|$ , the highest generic slope of  $\rho_p^+ \mathcal{M}$  along  $E$  is

$$r_E(\rho_p^+ \mathcal{M}) = p \cdot r_E(\mathcal{M}) \leq rk \cdot q \cdot v_E(f) = rk \cdot v_E(f\rho_q)$$

Hence we can suppose that  $\rho_p = \text{id}$  and that  $N$  is decomposed.

Take

$$N = \mathcal{E}^{P(t)/t^m} \otimes R$$

with  $P(t) \in \mathbb{C}[t]$  satisfying  $P(0) \neq 0$ , with  $m > r$  and with  $R$  regular. Since  $\psi$  is insensitive to formalization, one can suppose

$$\mathcal{M} = \mathcal{E}^{\varphi(x)} \otimes \mathcal{R}$$

with  $\varphi(x)$  as in 1.5 (3) and  $\mathcal{R}$  regular. By Sabbah-Mochizuki theorem, the multiplicity of  $-\text{div } \varphi(x)$  along a component  $D'$  of  $D$  is a generic slope of  $\mathcal{M}$  along  $D'$ . Thus, one can write  $\varphi(x) = g(x)/x^b$  where  $g(0) \neq 0$  and where the  $b_i$  are such that if  $i \in \text{Supp } a$ , we have  $b_i \leq ra_i < ma_i$ . We thus have to prove the

**Lemma 2.4.6.** — *Take  $g, h \in \mathcal{O}_{\mathbb{C}^n, 0}$  such that  $g(0) \neq 0$  and  $h(0) \neq 0$ . Let  $\mathcal{R}$  be a regular meromorphic connection with poles contained in  $x_1 \cdots x_n = 0$ . Take  $a, b \in \mathbb{N}^{\llbracket 1, n \rrbracket}$  such that  $A := \text{Supp } a$  is non empty and  $b_i < a_i$  for every  $i \in A$ . Then*

$$\psi_{x^a}(\mathcal{E}^{g(x)/x^b + h(x)/x^a} \otimes \mathcal{R}) \simeq 0$$

in a neighbourhood of 0.

□

**2.5. Proof of 2.4.6.** — We define  $\mathcal{M} := \mathcal{E}^{g(x)/x^b + h(x)/x^a} \otimes \mathcal{R}$ . Since  $A$  is not empty, a change of variable allows one to suppose  $h = 1$ . If  $\text{Supp } b \subset A$ , a change of variable shows that 2.4.6 is a consequence of 2.6.1. Let  $i \in \text{Supp } b$  be an integer such that  $i \notin A$ . Using  $x_i$ , a change of variable allows one to suppose  $g = 1$ . Let  $p_1, \dots, p_n \in \mathbb{N}^*$  such that  $a_j p_j$  is independent from  $j$  for every  $j \in A$  and  $p_j = 1$  if  $j \notin A$ . Let  $\rho_p$  be the morphism  $x \rightarrow x^p$ . Like in (2.4.3), we see that

$$\rho_{p+\rho_p^+} \mathcal{M} \longrightarrow \mathcal{M}$$

is surjective. We are thus left to prove that 2.4.6 holds for multi-indices  $a$  such that  $a_j$  does not depend on  $j$  for every  $j \in A$ . Let us denote by  $\mathbf{1}_A$  the characteristic function of  $A$ . From [Sab05, 3.3.13], it is enough to prove

$$\psi_{x^{\mathbf{1}_A}}(\mathcal{E}^{1/x^b + 1/x^a} \otimes \mathcal{R}) \simeq 0$$



Using the fact that  $\mathcal{R}$  is a successive extension of regular modules of rank 1, one can suppose that  $\mathcal{R} = x^c$ , where  $c \in \mathbb{C}^{\llbracket 1, n \rrbracket}$ . Let

$$\begin{array}{ccc} \mathbb{C}^n & \xrightarrow{i} & \mathbb{C}^n \times \mathbb{C} \\ & \searrow x^{\mathbb{1}_A} & \downarrow \\ & & \mathbb{C} \end{array}$$

be the inclusion given by the graph of  $x \longrightarrow x^{\mathbb{1}_A}$ . Let  $t$  be a coordinate on the second factor of  $\mathbb{C}^n \times \mathbb{C}$ . We have to prove

$$\psi_t(i_+(x^c \mathcal{E}^{1/x^b+1/x^a})) \simeq 0$$

Define  $\delta := \delta(t - x^{\mathbb{1}_A}) \in i_+(x^c \mathcal{E}^{1/x^b+1/x^a})$  and let  $(V_k)_{k \in \mathbb{Z}}$  be the Kashiwara-Malgrange filtration on  $\mathcal{D}_{\mathbb{C}^n \times \mathbb{C}}$  relative to  $t$ . For  $d \in \mathbb{N}^{\llbracket 1, n \rrbracket}$  such that  $x^d = 0$  is the pole locus of  $x^c \mathcal{E}^{1/x^b+1/x^a}$ , the family of sections  $x^d$  generates  $x^c \mathcal{E}^{1/x^b+1/x^a}$ . For such  $d$ , the family  $s := x^d \delta$  generates  $i_+(x^c \mathcal{E}^{1/x^b+1/x^a})$ . We are left to prove  $s \in V_{-1}s$ . One can always suppose that  $1 \in A$ .

$$x_1 \partial_1 s = (d_1 + c_1)s - \frac{b_1}{x^b} s - \frac{a_1}{x^a} s - x^{\mathbb{1}_A} \partial_t s$$

We define  $M \in \mathbb{N}^{\llbracket 1, n \rrbracket}$  by  $M_k = \max(a_k, b_k)$  for every  $k \in \llbracket 1, n \rrbracket$ . We thus have

$$(2.5.1) \quad x^M x_1 \partial_1 s = (d_1 + c_1)x^M s - b_1 x^{M-b} s - a_1 x^{M-a} s - x^M x^{\mathbb{1}_A} \partial_t s$$

We have  $M = a + b_{A^c} = \mathbb{1}_A + (a - \mathbb{1}_A) + b_{A^c} = \mathbb{1}_A + b + m$  with  $m \in \mathbb{N}^{\llbracket 1, n \rrbracket}$ . So

$$x^{M-b} s = x^m t s \in V_{-1}s$$

Moreover, we have

$$x^M x_1 \partial_1 s = x_1 \partial_1 x^M s - M_1 x^M s = x_1 \partial_1 x^{m+b} t s - M_1 x^{m+b} t s \in V_{-1}s$$

and

$$x^M x^{\mathbb{1}_A} \partial_t s = x^{m+b} \partial_t x^{2 \times \mathbb{1}_A} s = x^{m+b} \partial_t t^2 s = 2x^{m+b} t s + x^{m+b} t (t \partial_t) s \in V_{-1}s$$

So (2.5.1) gives

$$(2.5.2) \quad x^{M-a} s \in V_{-1}s$$

Let us recall that  $i$  is such that  $i \notin A$  and  $i \in \text{Supp } b$ . In particular  $(M-a)_i = b_i \neq 0$  and  $\partial_i \delta = 0$ . Applying  $x_i \partial_i$  to (2.5.2), we obtain

$$(d_i + c_i + b_i) x^{M-a} s - b_i \frac{x^{M-a}}{x^b} s \in V_{-1}s$$

so from (2.5.2), we deduce  $x^{M-a-b} s \in V_{-1}s$ . We have  $M - a - b = -b_A$ , so by multiplying  $x^{M-a-b} s$  by  $x^{b_A}$ , we get  $s \in V_{-1}s$ .

**2.6.** The aim of this paragraph is to prove the following

**Lemma 2.6.1.** — *Let  $\alpha, a \in \mathbb{N}^{\llbracket 1, n \rrbracket}$  such that  $\text{Supp } \alpha$  is not empty and  $\text{Supp } \alpha \subset \text{Supp } a$ . Let  $\mathcal{R}$  be a regular meromorphic connection with poles contained in  $x_1 \cdots x_n = 0$ . We have*

$$\psi_{x^\alpha}(\mathcal{E}^{1/x^\alpha} \otimes \mathcal{R}) \simeq 0$$

*Proof.* — Let  $p_1, \dots, p_n$  be integers such that  $\alpha_i p_i$  does not depend of  $i$  for every  $i \in \text{Supp } \alpha$  (we denote by  $m$  this integer) and  $p_i = 1$  if  $i \notin \text{Supp } \alpha$ . Let  $\rho_p$  be the morphism  $x \rightarrow x^p$ . Like in (2.4.3), the morphism  $\rho_p + \rho_p^+ \mathcal{M} \rightarrow \mathcal{M}$  is surjective. We are left to prove 2.6.1 for  $\alpha$  such that  $\alpha_i$  does not depend of  $i$  for every  $i \in \text{Supp } \alpha$ . From [Sab05, 3.3.13], one can suppose  $\alpha_i = 1$  for every  $i \in \text{Supp } \alpha$ . So  $\alpha \leq a$ .

One can suppose  $\mathcal{R} = x^b$  where  $b \in \mathbb{N}^{\llbracket 1, n \rrbracket}$ . Let

$$\begin{array}{ccc} \mathbb{C}^n & \xrightarrow{i} & \mathbb{C}^n \times \mathbb{C} \\ & \searrow x^\alpha & \downarrow \\ & & \mathbb{C} \end{array}$$

be the inclusion given by the graph of  $x \rightarrow x^\alpha$ . Let  $t$  be a coordinate on the second factor of  $\mathbb{C}^n \times \mathbb{C}$ . We have to show

$$\psi_t(i_+(x^b \mathcal{E}^{1/x^\alpha})) \simeq 0$$

Define  $\delta := \delta(t - x^\alpha) \in i_+(x^b \mathcal{E}^{1/x^\alpha})$ . For  $c \in \mathbb{N}^{\llbracket 1, n \rrbracket}$  such that  $\text{Supp } c \subset \text{Supp } a \cup \text{Supp } b$ , the family of sections  $x^c$  generates  $x^b \mathcal{E}^{1/x^\alpha}$ . For such  $c$ , the family  $s := x^c \delta$  generates  $i_+(x^b \mathcal{E}^{1/x^\alpha})$ . It is thus enough to show  $s \in V_{-1}s$ . Let us choose  $i \in \text{Supp } \alpha$ . We have

$$x_i \partial_i s = (c_i + b_i)s - \frac{a_i}{x^a} s - x^\alpha \partial_t s$$

We have  $\alpha \leq a$ . Define  $a = \alpha + a'$ . From

$$x^\alpha x_i \partial_i s = x_i \partial_i x^\alpha s - x^\alpha s = x_i \partial_i t s - t s \in V_{-1}s$$

we deduce that  $a_i s + x^{a'} x^{2\alpha} \partial_t s \in V_{-1}s$ . We also have  $x^{2\alpha} \partial_t s = \partial_t x^{2\alpha} s = \partial_t t^2 s = 2t s + t(t \partial_t) s \in V_{-1}s$ . Since  $a_i \neq 0$ , we deduce  $s \in V_{-1}s$  and 2.6.1 is proved.  $\square$

### 3. Proof of theorem 1

**3.1. Dévissage to the case of meromorphic connections.** — Suppose that theorem 1 is true for meromorphic connections for every choice of ambient manifold. Let us show that theorem 1 is true for  $\mathcal{M} \in \mathcal{D}_{\text{hol}}^b(X)$ . We argue by induction on  $\dim X$ . The case where  $X$  is a point is trivial. Let us suppose that  $\dim X > 0$ . We define  $Y := \text{Supp } \mathcal{M}$  and we argue by induction on  $\dim Y$ .

Let us suppose that  $Y$  is a strict closed subset of  $X$ . We denote by  $i : Y \rightarrow X$  the canonical inclusion. Let  $\pi : \tilde{Y} \rightarrow Y$  be a resolution of the singularities of  $Y$  [AHV29] and  $p := i\pi$ . The regular locus  $\text{Reg } Y$  of  $Y$  is a dense open subset in  $Y$  and

$\pi$  is an isomorphism above  $\text{Reg } Y$ . By Kashiwara theorem, we deduce that the cone  $\mathcal{C}$  of the adjunction morphism

$$p_+p^\dagger\mathcal{M} \longrightarrow \mathcal{M}$$

has support in  $\text{Sing } Y$ , with  $\text{Sing } Y$  a strict closed subset in  $Y$ . Let  $x \in X$  and let  $B$  be a neighbourhood of  $x$  with compact closure  $\overline{B}$ . Then,  $p^{-1}(\overline{B})$  is compact. Since  $\dim \tilde{Y} < \dim X$ , theorem 1 is true for  $p^\dagger\mathcal{M} \in \mathcal{D}_{\text{hol}}^b(\tilde{Y})$ . Let  $(U_i)$  be a finite family of open sets in  $\tilde{Y}$  covering  $p^{-1}(\overline{B})$  and such that for every  $i$ , the set  $\text{Sl}^{\text{nb}}((p^\dagger\mathcal{M})|_{U_i})$  is bounded by a rational  $r_i$ . Define  $R = \max_i r_i$ .

By induction hypothesis applied to  $\mathcal{C}$ , one can suppose at the cost of taking a smaller  $B$  containing  $x$  that the set  $\text{Sl}^{\text{nb}}(\mathcal{C}|_B)$  is bounded by a rational  $R'$ . Take  $f \in \mathcal{O}_B$ . We have a distinguished triangle

$$(3.1.1) \quad \psi_f(p_+p^\dagger\mathcal{M} \otimes f^+N) \longrightarrow \psi_f(\mathcal{M} \otimes f^+N) \longrightarrow \psi_f(\mathcal{C} \otimes f^+N) \xrightarrow{+1}$$

By projection formula and compatibility of  $\psi$  with proper direct image, (3.1.1) is isomorphic to

$$p_+\psi_{fp}(p^\dagger\mathcal{M} \otimes (pf)^+N) \longrightarrow \psi_f(\mathcal{M} \otimes f^+N) \longrightarrow \psi_f(\mathcal{C} \otimes f^+N) \xrightarrow{+1}$$

So we have the desired vanishing on  $B$  for  $r > \max(R, R')$ .

We are left with the case where  $\dim \text{Supp } \mathcal{M} = \dim X$ . Let  $Z$  be a hypersurface containing  $\text{Sing } \mathcal{M}$ . We have a triangle

$$R\Gamma_{[Z]}\mathcal{M} \longrightarrow \mathcal{M} \longrightarrow \mathcal{M}(*Z) \xrightarrow{+1}$$

By applying the induction hypothesis to  $R\Gamma_{[Z]}\mathcal{M}$ , we are left to prove theorem 1 for  $\mathcal{M}(*Z)$ . The module  $\mathcal{M}(*Z)$  is a meromorphic connection, which concludes the reduction step.

**3.2. The case of meromorphic connections.** — At the cost of taking an open cover of  $X$ , let us take a resolution of turning points  $p : \tilde{X} \rightarrow X$  for  $\mathcal{M}$  as given by Kedlaya-Mochizuki theorem. Let  $D$  be the pole locus of  $\mathcal{M}$ . Since  $p$  is an isomorphism above  $X \setminus D$ , the cone of

$$(3.2.1) \quad p_+p^+\mathcal{M} \longrightarrow \mathcal{M}$$

has support in the pole locus  $D$  of  $\mathcal{M}$ . From [Meb04, 3.6-4], the left hand side of (3.2.1) is localized along  $D$ . So (3.2.1) is an isomorphism. We thus have a canonical isomorphism

$$p_+\psi_{fp}(p^+\mathcal{M} \otimes (fp)^+N) \simeq \psi_f(\mathcal{M} \otimes f^+N)$$

Since  $p$  is proper, we see as in 3.1 that we are left to prove theorem 1 for  $p^+\mathcal{M}$ . We thus suppose that  $\mathcal{M}$  has a good formal structure. At the cost of taking an open cover, we can suppose that  $D$  has only a finite number of irreducible components. Let  $S$  be the divisor of highest generic slopes 1.6 of  $\mathcal{M}$ . Let  $S_1, \dots, S_m$  be the irreducible components of  $|S|$ . Let us prove that  $\text{Sl}^{\text{nb}}(\mathcal{M})$  is bounded by  $\deg S$ . It is a local

statement. Let  $f \in \mathcal{O}_X$  and define  $Z := \operatorname{div} f$ . Let us denote by  $|Z|$  (resp.  $|S|$ ) the support of  $Z$  (resp.  $S$ ) and let us admit for a moment the validity of the following

**Proposition 3.2.2.** — *Locally on  $X$ , one can find a proper birational morphism  $\pi : \tilde{X} \rightarrow X$  such that*

- (1)  $\pi$  is an isomorphism above  $X \setminus |Z|$ .
- (2)  $\pi^{-1}(|Z|) \cup \pi^{-1}(|S|)$  is a normal crossing divisor.
- (3) for every valuation  $v_E$  measuring the vanishing order along an irreducible component  $E$  of  $\pi^{-1}(|Z|)$ , we have

$$v_E(S) \leq (\deg S)v_E(f)$$

Let us suppose that 3.2.2 is true. At the cost of taking an open cover, let us take a morphism  $\pi : \tilde{X} \rightarrow X$  as in 3.2.2. Since condition (1) is true, the cone of the canonical comparison morphism

$$(3.2.3) \quad \pi_+ \pi^+ \mathcal{M} \longrightarrow \mathcal{M}$$

has support in  $|Z|$ . Since  $f^+ N$  is localized along  $|Z|$ , we deduce that (3.2.3) induces an isomorphism

$$(\pi_+ \pi^+ \mathcal{M}) \otimes f^+ N \xrightarrow{\sim} \mathcal{M} \otimes f^+ N$$

Applying  $\psi_f$  and using the fact that  $\pi$  is proper, we see that it is enough to prove

$$(3.2.4) \quad \psi_{f\pi}(\pi^+ \mathcal{M} \otimes (f\pi)^+ N) \simeq 0$$

for every germ  $N$  of meromorphic connection at the origin with slope  $r > \deg S$ . Since  $(f\pi)^+ N$  is localized along  $\pi^{-1}(|Z|)$ , the left-hand side of (3.2.4) is

$$(3.2.5) \quad \psi_{f\pi}((\pi^+ \mathcal{M})(*\pi^{-1}(|Z|)) \otimes (f\pi)^+ N)$$

The vanishing of (3.2.5) is a local statement on  $\tilde{X}$ . Since (2) and (3) are true, 2.4.1 asserts that it is enough to show that for every irreducible component  $E$  of  $\pi^{-1}(|Z|)$ , we have

$$r_E((\pi^+ \mathcal{M})(*\pi^{-1}(|Z|))) \leq (\deg S)v_E(f\pi)$$

Let us notice that  $v_E(f\pi) = v_E(f)$ . Let  $P$  be a point in the smooth locus of  $E$ . Let  $\varphi$  as in (1.5.1) for  $\mathcal{M}$  at the point  $Q := \pi(P)$ . For  $i = 1, \dots, n$ , let  $t_i = 0$  be an equation of  $S_i$  in a neighbourhood of  $Q$ . Modulo a unit in  $\mathcal{O}_{X,Q}$ , we have  $\varphi = 1/t_1^{r_1} \cdots t_n^{r_n}$  where  $r_i \in \mathbb{Q}_{\geq 0}$ . If  $u = 0$  is a local equation for  $E$  in a neighbourhood of  $P$ , we have modulo a unit in  $\mathcal{O}_{\tilde{X},P}$

$$\varphi\pi = \frac{1}{u^{r_1 v_E(t_1)} \cdots u^{r_n v_E(t_n)}}$$

So the slope of  $\mathcal{E}^{\varphi\pi}(*\pi^{-1}(|Z|))$  along  $E$  is  $r_1 v_E(t_1) + \cdots + r_n v_E(t_n)$ . By Sabbah-Mochizuki theorem,  $r_i$  is a slope of  $\mathcal{M}$  generically along  $S_i$ , so  $r_i \leq r_{S_i}(\mathcal{M})$ . We deduce that

$$r_E(\pi^+ \mathcal{M}(*\pi^{-1}(|Z|))) \leq \sum_i r_{S_i}(\mathcal{M})v_E(t_i) = v_E(S) \leq (\deg S)v_E(f)$$

This concludes the proof of theorem 1 and theorem 2.

**3.3. Proof of 3.2.2.** — At the cost of taking an open cover of  $X$ , let us take a finite sequence of blow-up

$$(3.3.1) \quad \pi_n : X_n \xrightarrow{p_{n-1}} X_{n-1} \xrightarrow{p_{n-2}} \cdots \longrightarrow X_1 \xrightarrow{p_0} X_0 = X$$

given by 3.15 and 3.17 of [BM89] for  $Z$  relatively to the normal crossing divisor  $|S|$ . Let  $|Z|_i$  be the strict transform of  $|Z|$  in  $X_i$  and let  $C_i$  be the center of  $p_i$ . We define inductively  $H_0 = |S|$  and  $H_{i+1} = p_i^{-1}(H_i) \cup p_i^{-1}(C_i)$  for  $i = 1, \dots, n$ , where  $p_i^{-1}$  denotes the set theoretic inverse image. In particular  $H_{i+1}$  is a closed subset of  $X_{i+1}$ . We will endow it with its canonical reduced structure. Then, (3.3.1) satisfies

- (i)  $C_i$  is a smooth closed subset of  $|Z|_i$ .
- (ii)  $C_i$  is nowhere dense in  $|Z|_i$ .
- (iii)  $C_i$  and  $H_i$  have normal crossing for every  $i$ .
- (iv)  $|Z|_n \cup H_n$  is a normal crossing divisor.

Since  $C_i$  and the components of  $H_i$  are reduced and smooth, condition (iii) means that locally on  $X_i$ , one can find coordinates  $(x_1, \dots, x_k)$  such that  $H_i$  is given by the equation  $x_1 \cdots x_l = 0$  and the ideal of  $C_i$  is generated by some  $x_j$  for  $j = 1, \dots, k$ . Using condition (i), we see by induction that  $\pi_n^{-1}(|Z|) \cup \pi_n^{-1}(|S|) = |Z|_n \cup H_n$ . Proposition 3.2.2 is thus a consequence of

**Proposition 3.3.2.** — *Let*

$$\pi_n : X_n \xrightarrow{p_{n-1}} X_{n-1} \xrightarrow{p_{n-2}} \cdots \longrightarrow X_1 \xrightarrow{p_0} X_0 = X$$

*be a sequence of blow-up satisfying (i), (ii) and (iii). For every irreducible component  $E$  of  $\pi_n^{-1}(|Z|)$ , we have*

$$(3.3.3) \quad v_E(S) \leq (\deg S)v_E(f)$$

*Proof.* — Let  $S_1, \dots, S_m$  be the irreducible components of  $|S|$  and let  $Z_1, \dots, Z_{m'}$  be the irreducible components of  $Z$ . Note that some  $Z_i$  can be in  $|S|$ . We define  $a_i = v_{Z_i}(f) > 0$  and let  $Z_{ji}$  (resp.  $S_{ji}$ ) be the strict transform of  $Z_j$  (resp.  $S_j$ ) in  $X_i$ .

We argue by induction on  $n$ . If  $n = 0$ ,  $E$  is one of the  $Z_i$  and then (3.3.3) is obvious. We suppose that (3.3.3) is true for a composite of  $n$  blow-up and we prove that (3.3.3) is true for a composite of  $n + 1$  blow-up.

Let  $\mathcal{C}_n$  be the set of irreducible components of

$$\bigcup_{i=0}^{n-1} (p_{n-1} \cdots p_i)^{-1}(C_i)$$

Each element  $E \in \mathcal{C}_n$  will be endowed with its reduced structure. Condition (i) implies that the irreducible components of  $\pi_n^*Z$  are the  $Z_{in}$  and the elements of  $\mathcal{C}_n$ . Condition (ii) implies that none of the  $Z_{in}$  belongs to  $\mathcal{C}_n$ . Thus, we have

$$\pi_n^*Z = \operatorname{div} f \pi_n = a_1 Z_{1n} + \cdots + a_{m'} Z_{m'n} + \sum_{E \in \mathcal{C}_n} v_E(f) E$$

On the other hand, we have

$$\pi_n^*S = r_{S_1}(\mathcal{M})S_{1n} + \cdots + r_{S_m}(\mathcal{M})S_{mn} + \sum_{E \in \mathcal{C}_n} v_E(S) E$$

Let us consider the last blow-up  $p_n : X_{n+1} \longrightarrow X_n$ . Let us denote by  $P$  the exceptional divisor of  $p_n$  and let  $E_{n+1}$  be the strict transform of  $E \in \mathcal{C}_n$  in  $X_{n+1}$ . We have

$$p_n^* Z_{in} = Z_{in+1} + \alpha_i P \quad \text{with } \alpha_i \in \mathbb{N}$$

Since

$$H_n = \bigcup_{j=0}^m S_{jn} \cup \bigcup_{E \in \mathcal{C}_n} E$$

we deduce from condition (iii) and smoothness of  $C_n$  that

$$p_n^* E = E_{n+1} + \epsilon_E P \quad \text{with } \epsilon_E \in \{0, 1\}$$

and

$$p_n^* S_{in} = S_{in+1} + \epsilon_i P \quad \text{with } \epsilon_i \in \{0, 1\}$$

Hence, we have

$$\pi_n^* Z = \sum a_i Z_{in+1} + \sum_{E \in \mathcal{C}_n} v_E(f) E_{n+1} + \left( \sum a_i \alpha_i + \sum_{E \in \mathcal{C}_n} \epsilon_E v_E(f) \right) P$$

and

$$\pi_n^* S = \sum r_{S_i}(\mathcal{M}) S_{in+1} + \sum_{E \in \mathcal{C}_n} v_E(S) E_{n+1} + \left( \sum r_{S_i}(\mathcal{M}) \epsilon_i + \sum_{E \in \mathcal{C}_n} \epsilon_E v_E(S) \right) P$$

Formula (3.3.3) is true for the  $Z_{in+1}$ . By induction hypothesis, formula (3.3.3) is true for  $E_{n+1}$ , where  $E \in \mathcal{C}_n$ . We are left to prove that (3.3.3) is true for  $P$ . Conditions (i) and (ii) imply that one of the  $\alpha_i$  is non zero, so

$$\begin{aligned} (\deg S) \left( \sum a_i \alpha_i + \sum \epsilon_E v_E(f) \right) &\geq (\deg S) + (\deg S) \sum \epsilon_E v_E(f) \\ &\geq \sum r_{S_i}(\mathcal{M}) \epsilon_i + \sum \epsilon_E (\deg S) v_E(f) \\ &\geq \sum r_{S_i}(\mathcal{M}) \epsilon_i + \sum \epsilon_E v_E(S) \end{aligned}$$

□

#### 4. Duality

We prove theorem 3 (i). Let us denote by  $\mathbb{D}$  the duality functor for  $\mathcal{D}$ -modules. There is a canonical comparison morphism

$$(4.0.4) \quad \mathbb{D}(\mathcal{M} \otimes f^+ N) \longrightarrow \mathbb{D}\mathcal{M} \otimes f^+ \mathbb{D}N$$

On a punctured neighbourhood of  $0 \in \mathbb{C}$ , the module  $N$  is isomorphic to a finite sum of copies of the trivial connection. Thus, there is a neighbourhood  $U$  of  $Z$  such that the restriction of (4.0.4) to  $U \setminus Z$  is an isomorphism. Hence, the cone of (4.0.4) has support in  $Z$ . We deduce that

$$(\mathbb{D}(\mathcal{M} \otimes f^+ N))(*Z) \xrightarrow{\sim} \mathbb{D}\mathcal{M} \otimes f^+ ((\mathbb{D}N)(*0))$$

We have  $(\mathbb{D}N)(*0) \simeq N^*$ , where  $*$  is the duality functor for meromorphic connection. Note that  $*$  is a slope preserving involution. Since nearby cycles are insensitive to localization and commute with duality for  $\mathcal{D}$ -modules, we have

$$\psi_f(\mathbb{D}\mathcal{M} \otimes f^+ N^*) \simeq \mathbb{D}(\psi_f(\mathcal{M} \otimes f^+ N))$$

and theorem 3 (i) is proved.

## 5. Regularity and nearby cycles

The aim of this section is to prove theorem 4.

**5.1.** We will use the following

**Lemma 5.1.1.** — *Let  $F$  be a germ of closed analytic subspace at the origin  $0 \in \mathbb{C}^n$ . Let  $Y_1, \dots, Y_k$  be irreducible closed analytic subspaces of  $\mathbb{C}^n$  containing  $0$  and such that  $F \cap Y_i$  is a strict closed subset of  $Y_i$  for every  $i$ . Then, there exists a germ of hypersurface  $Z$  at the origin containing  $F$  and such that  $Z \cap Y_i$  has codimension 1 in  $Y_i$  for every  $i$ .*

*Proof.* — Denote by  $\mathcal{I}_F$  (resp.  $\mathcal{I}_{Y_i}$ ) the ideal sheaf of  $F$  (resp.  $Y_i$ ). By irreducibility,  $\mathcal{I}_{Y_i,0}$  is a prime ideal in  $\mathcal{O}_{\mathbb{C}^n,0}$ . The hypothesis say  $\mathcal{I}_F \not\subseteq \mathcal{I}_{Y_i}$  for every  $i$ . From [Mat80, 1.B], we deduce

$$\mathcal{I}_F \not\subseteq \bigcup_i \mathcal{I}_{Y_i}$$

Any function  $f \in \mathcal{I}_F$  not in  $\bigcup_i \mathcal{I}_{Y_i}$  defines a hypersurface as wanted.  $\square$

**5.2.** We say that a holonomic module  $\mathcal{M}$  is *smooth* if the support  $\text{Supp } \mathcal{M}$  of  $\mathcal{M}$  is smooth equidimensional and if the characteristic variety of  $\mathcal{M}$  is equal to the conormal of  $\text{Supp } \mathcal{M}$  in  $X$ . We denote by  $\text{Sing } \mathcal{M}$  the complement of the smooth locus of  $\mathcal{M}$ . It is a strict closed subset of  $\text{Supp } \mathcal{M}$ .

Let  $x \in X$  and let us define  $F$  as the union of  $\text{Sing } \mathcal{M}$  with the irreducible components of  $\text{Supp } \mathcal{M}$  passing through  $x$  which are not of maximal dimension. Define  $Y_1, \dots, Y_k$  to be the irreducible components of  $\text{Supp } \mathcal{M}$  of maximal dimension passing through  $x$ . From 5.1.1, one can find a hypersurface  $Z$  passing through  $x$  such that

- (1)  $Z \cap \text{Supp } \mathcal{M}$  has codimension 1 in  $\text{Supp } \mathcal{M}$ .
- (2) The cohomology modules of  $\mathcal{H}^k \mathcal{M}$  are smooth away from  $Z$ .
- (3)  $\dim \text{Supp } R\Gamma_{[Z]} \mathcal{M} < \dim \text{Supp } \mathcal{M}$ .

**5.3.** The direct implication of theorem 4 is a consequence of the preservation of regularity by inverse image and the following

**Proposition 5.3.1.** — *We have  $\mathcal{D}_{\text{hol}}^b(X)_{\text{reg}} \subset \mathcal{D}_{\text{hol}}^b(X)_{\{0\}}$ .*

*Proof.* — Take  $\mathcal{M} \in \mathcal{D}_{\text{hol}}^b(X)_{\text{reg}}$ . We argue by induction on  $\dim X$ . The case where  $X$  is a point is trivial. By arguing on  $\dim \text{Supp } \mathcal{M}$  as in 3.1, we are left to prove 5.3.1

in the case where  $\mathcal{M}$  is a regular meromorphic connection. Let  $D$  be the pole locus of  $\mathcal{M}$ . Take  $f \in \mathcal{O}_X$  and let  $N$  with slope  $> 0$ . To prove

$$\psi_f(\mathcal{M} \otimes f^+ N) \simeq 0$$

one can suppose using embedded desingularization that  $D + \text{div } f$  is a normal crossing divisor. We then conclude with 2.4.1.  $\square$

**5.4.** To prove the reverse implication of theorem 4, we argue by induction on  $\dim X \geq 1$ . The case of curves follows from 2.3.1. We suppose that  $\dim X \geq 2$  and we take  $\mathcal{M} \in \mathcal{D}_{\text{hol}}^b(X)_{\{0\}}$ . We argue by induction on  $\dim \text{Supp } \mathcal{M}$ . The case where  $\text{Supp } \mathcal{M}$  is punctual is trivial.

Suppose that  $0 < \dim \text{Supp } \mathcal{M} < \dim X$ . Since  $\text{Supp } \mathcal{M}$  is a strict closed subset of  $X$ , one can always locally write  $X = X' \times D$  where  $D$  is the unit disc of  $\mathbb{C}$  and where the projection  $X' \times D \rightarrow X'$  is finite on  $\text{Supp } \mathcal{M}$ . Let  $i : X' \times D \rightarrow X' \times \mathbb{P}^1$  be the canonical immersion. There is a commutative diagram

$$(5.4.1) \quad \begin{array}{ccc} \text{Supp } \mathcal{M} & \longrightarrow & X' \times \mathbb{P}^1 \\ & \searrow & \downarrow p \\ & & X' \end{array}$$

The oblique arrow of (5.4.1) is finite, and  $p$  is proper. So the horizontal arrow is proper. Thus,  $\text{Supp } \mathcal{M}$  is a closed subset in  $X' \times \mathbb{P}^1$ . Hence,  $\mathcal{M}$  can be extended by 0 to  $X' \times \mathbb{P}^1$ . We still denote by  $\mathcal{M}$  this extension. It is an object of  $\mathcal{D}_{\text{hol}}^b(X' \times \mathbb{P}^1)_{\{0\}}$  and we have to show that it is regular.

Let  $Z$  be a divisor in  $X'$  given by the equation  $f = 0$  and let  $\rho : Y \rightarrow X'$  be a finite morphism. Since  $p$  is smooth, the analytic space  $Y'$  making the following diagram

$$\begin{array}{ccc} Y' & \xrightarrow{\rho'} & X' \times \mathbb{P}^1 \\ p' \downarrow & & \downarrow p \\ Y & \xrightarrow{\rho} & X' \end{array}$$

cartesian is smooth. Moreover  $\rho'$  is finite. By base change [HTT00, 1.7.3], projection formula and compatibility of  $\psi$  with proper direct image, we have for every germ  $N$  of meromorphic connection with slope  $> 0$

$$\begin{aligned} \psi_f(\rho^+ p_+ \mathcal{M} \otimes f^+ N) &\simeq \psi_f(p'_+ \rho'^+ \mathcal{M} \otimes f^+ N) \\ &\simeq \psi_f(p'_+ (\rho'^+ \mathcal{M} \otimes (fp')^+ N)) \\ &\simeq p'_+ \psi_{fp'}(\rho'^+ \mathcal{M} \otimes (fp')^+ N) \\ &\simeq 0 \end{aligned}$$

By induction hypothesis  $p_+ \mathcal{M}$  is regular. Let  $Y_1, \dots, Y_n$  be the irreducible components of  $\text{Supp } \mathcal{M}$  with maximal dimension. Since  $\text{Sing } \mathcal{M} \cap Y_i$  is a strict closed subset of  $Y_i$  and since a finite morphism preserves dimension,  $p(\text{Sing } \mathcal{M}) \cap p(Y_i)$  is a strict closed subset of the irreducible closed set  $p(Y_i)$ . In a neighbourhood of a given point of



$p(\text{Sing } \mathcal{M})$ , one can find from 5.2 a hypersurface  $Z$  containing  $p(\text{Sing } \mathcal{M})$  such that  $Z \cap p(Y_i)$  has codimension 1 in  $p(Y_i)$  for every  $i$ . So  $p^{-1}(Z)$  contains  $\text{Sing } \mathcal{M}$  and

$$\dim p^{-1}(Z) \cap Y_i = \dim Z \cap p(Y_i) = \dim p(Y_i) - 1 = \dim Y_i - 1$$

Since  $\text{Irr}_Z^*$  is compatible with proper direct image [Meb04, 3.6-6], we have

$$\text{Irr}_Z^* p_+ \mathcal{M} \simeq R p_* \text{Irr}_{p^{-1}(Z)}^* \mathcal{M} \simeq 0$$

Since  $p$  is finite over  $\text{Supp } \mathcal{M}$ , we have

$$R p_* \text{Irr}_{p^{-1}(Z)}^* \mathcal{M} \simeq p_* \text{Irr}_{p^{-1}(Z)}^* \mathcal{M}$$

So for every  $x \in p^{-1}(Z)$ , the germ of  $\text{Irr}_{p^{-1}(Z)}^* \mathcal{M}$  at  $x$  is a direct factor of the complex  $(p_* \text{Irr}_Z^* p_+ \mathcal{M})_{p(x)} \simeq 0$ . Thus  $\text{Irr}_{p^{-1}(Z)}^* \mathcal{M} \simeq 0$ . From [Meb04, 4.3-17], We deduce that  $\mathcal{M}(*p^{-1}(Z))$  is regular.

To show that  $\mathcal{M}$  is regular, we are left to prove that  $R\Gamma_{[p^{-1}(Z)]} \mathcal{M}$  is regular. From 5.3, the nearby slopes of all quasi-finite inverse images of  $\mathcal{M}(*p^{-1}(Z))$  are contained in  $\{0\}$ . Thus, this is also the case for  $R\Gamma_{[p^{-1}(Z)]} \mathcal{M}$ . By construction of  $Z$ ,

$$\dim \text{Supp } R\Gamma_{[p^{-1}(Z)]} \mathcal{M} < \dim \text{Supp } \mathcal{M}$$

We conclude by applying the induction hypothesis to  $R\Gamma_{[p^{-1}(Z)]} \mathcal{M}$ .

Let us suppose that  $\text{Supp } \mathcal{M}$  has dimension  $\dim X$ , and let  $Z$  be a hypersurface as in 5.2. Then  $\mathcal{M}(*Z)$  is a meromorphic connection with poles along  $Z$ . Let us show that  $\mathcal{M}(*Z)$  is regular. By [Meb04, 4.3-17], it is enough to prove regularity generically along  $Z$ . Hence, one can suppose that  $Z$  is smooth. By Malgrange theorem [Mal96], one can suppose that  $Z$  is smooth and that  $\mathcal{M}(*Z)$  has good formal structure along  $Z$ . Let  $(x_1, \dots, x_n, t)$  be coordinates centred at  $0 \in Z$  such that  $Z$  is given by  $t = 0$  and let  $\rho : (x, u) \rightarrow (x, u^p)$  be as in 1.5 for  $\mathcal{M}(*Z)$ . Let  $\mathcal{E}^{g(x,u)/u^k} \otimes \mathcal{R}$  be a factor of  $\rho^+(\widehat{\mathcal{M}}_0(*Z))$  where  $g(0,0) \neq 0$  and where  $\mathcal{R}$  is a regular meromorphic connection with poles along  $Z$ . For a choice of  $k$ -th root in a neighbourhood of  $g(0,0)$ , we have

$$\psi_{u/\sqrt[k]{g}}(\rho^+ \mathcal{M} \otimes (u/\sqrt[k]{g})^+ \mathcal{E}^{-1/u^k}) \simeq 0$$

Since nearby cycles commute with formalization, we deduce

$$\psi_u(\rho^+(\widehat{\mathcal{M}}_0(*Z)) \otimes \mathcal{E}^{-g/u^k}) \simeq \psi_u(\rho^+ \widehat{\mathcal{M}}_0 \otimes \mathcal{E}^{-g/u^k}) \simeq 0$$

Thus  $\psi_u \mathcal{R} \simeq 0$ , so  $\mathcal{R} \simeq 0$ . Hence, the only possibly non zero factor of  $\rho^+(\widehat{\mathcal{M}}_0(*Z))$  is the regular factor. So  $\mathcal{M}(*Z)$  is regular. We obtain that  $\mathcal{M}$  is regular by applying the induction hypothesis to  $R\Gamma_{[Z]} \mathcal{M}$ .

## 6. Slopes and irregular periods

**6.1.** The main reference for what follows is [Sab00, II]. Let  $X$  be a smooth complex manifold of dimension  $d$  and let  $D$  be a normal crossing divisor in  $X$ . Define  $U := X \setminus D$  and let  $j : U \rightarrow X$  be the canonical inclusion. Let  $\mathcal{M}$  be a meromorphic connection on  $X$  with poles along  $D$ . We denote by  $p : \widetilde{X} \rightarrow X$  the real blow-up of  $X$  along  $D$  and  $\tilde{j} : U \rightarrow \widetilde{X}$  the canonical inclusion.

Let  $\mathcal{A}_{\widetilde{X}}^{\leq D}$  be the sheaf of differentiable functions on  $\widetilde{X}$  whose restriction to  $U$  are

holomorphic and whose asymptotic development along  $p^{-1}(D)$  is zero, and let  $\mathcal{A}_{\tilde{X}}^{\text{mod}}$  be the sheaf of differentiable functions on  $\tilde{X}$  whose restriction to  $U$  are holomorphic with moderate growth along  $p^{-1}(D)$ . We define the *de Rham complex with rapid decay* by

$$\text{DR}_{\tilde{X}}^{\leq D} \mathcal{M} := \mathcal{A}_{\tilde{X}}^{\leq D} \otimes_{p^{-1}\mathcal{O}_X} p^{-1} \text{DR}_X \mathcal{M}$$

and the *moderate de Rham complex* by

$$\text{DR}_{\tilde{X}}^{\text{mod}} \mathcal{M} := \mathcal{A}_{\tilde{X}}^{\text{mod}} \otimes_{p^{-1}\mathcal{O}_X} p^{-1} \text{DR}_X \mathcal{M}$$

**6.2.** With the notations in 6.1, if  $\mathcal{M}$  has good formal structure along  $D$ , we define [Hie09, Prop 2]

$$H_k^{\text{rd}}(X, \mathcal{M}) := H^{2d-k}(\tilde{X}, \text{DR}_{\tilde{X}}^{\leq D} \mathcal{M})$$

The left-hand side is the space of *cycles with rapid decay* for  $\mathcal{M}$ . For a topological description justifying the terminology, we refer to [Hie09, 5.1].

**6.3. Proof of theorem 5.** — We first prove the assertion concerning the slopes of  $\mathcal{H}^k f_+ \mathcal{E}$ . We denote by  $j : U \rightarrow X$  the canonical immersion,  $d := \dim X$  and  $\text{Sl}_0(\mathcal{H}^k f_+ \mathcal{E})$  the slopes of  $\mathcal{H}^k f_+ \mathcal{E}$  at 0. We will also use the letter  $f$  for the restriction of  $f$  to  $U$ . From [HTT00, 4.7.2], we have a canonical identification

$$(6.3.1) \quad (f_+ \mathcal{E})^{\text{an}} \simeq (f_+(j_+ \mathcal{E}))^{\text{an}} \xrightarrow{\sim} f_+^{\text{an}}(j_+ \mathcal{E})^{\text{an}}$$

We deduce

$$\text{Sl}_0(\mathcal{H}^k f_+ \mathcal{E}) = \text{Sl}_0(\mathcal{H}^k f_+^{\text{an}}(j_+ \mathcal{E})^{\text{an}})$$

Let  $x$  be a local coordinate on  $S$  centred at the origin. From 2.3.1, we have

$$\text{Sl}_0(\mathcal{H}^k f_+^{\text{an}}(j_+ \mathcal{E})^{\text{an}}) = \text{Sl}_x^{\text{nb}}(\mathcal{H}^k f_+^{\text{an}}(j_+ \mathcal{E})^{\text{an}})$$

Since  $\text{Sl}_x^{\text{nb}}(\mathcal{H}^k f_+^{\text{an}}(j_+ \mathcal{E})^{\text{an}}) \subset \text{Sl}_x^{\text{nb}}(f_+^{\text{an}}(j_+ \mathcal{E})^{\text{an}})$ , we deduce from theorem 2 and theorem 3

$$\text{Sl}_0(\mathcal{H}^k f_+ \mathcal{E}) \subset \text{Sl}_{f(x)}^{\text{nb}}((j_+ \mathcal{E})^{\text{an}}) \subset [0, r_1 + \dots + r_n]$$

We are thus left to relate  $\text{Sol}(\mathcal{H}^k f_+^{\text{an}}(j_+ \mathcal{E})^{\text{an}})$  to the periods of  $\mathcal{E}_t$ , for  $t \neq 0$  close enough to 0. Such a relation appears for a special type of rank 1 connections in [HR08]. We prove more generally the following

**Proposition 6.3.2.** — *For every  $k$ , we have a canonical isomorphism*

$$(6.3.3) \quad R^k f_*^{\text{an}} \text{Sol}(j_+ \mathcal{E})^{\text{an}} \xrightarrow{\sim} R^k (f^{\text{an}})_* \text{DR}_{\tilde{X}}^{\leq D} (j_+ \mathcal{E}^*)^{\text{an}}$$

For  $t \neq 0$  close enough to 0, the fiber of the right-hand side of (6.3.3) at  $t$  is canonically isomorphic to  $H_{2d-2-k}^{\text{rd}}(U_t, \mathcal{E}_t^*) := H_{2d-2-k}^{\text{rd}}(X_t^{\text{an}}, (j_{t+} \mathcal{E}_t^*)^{\text{an}})$ .

*Proof.* — Set  $\mathcal{M} := (j_+ \mathcal{E}^*)^{\text{an}}$ . Hien duality for the De Rham cohomology of  $\mathcal{E}$  on  $U$  is induced by a canonical isomorphism of sheaves

$$\text{DR}_{\tilde{X}^{\text{an}}}^{\leq D} \mathcal{M}^* \simeq R\mathcal{H}om(\text{DR}_{\tilde{X}^{\text{an}}}^{\text{mod}} \mathcal{M}, \tilde{t}_! \mathbb{C})$$

We thus have

$$\begin{aligned}
 Rp_* \mathrm{DR}_{\widehat{X}^{\mathrm{an}}}^{\leq D} \mathcal{M}^* &\simeq Rp_* R\mathcal{H}om(\mathrm{DR}_{\widehat{X}^{\mathrm{an}}}^{\mathrm{mod}} \mathcal{M}, \widetilde{\mathcal{L}}_1 \mathbb{C}) \\
 &\simeq R\mathcal{H}om(Rp_* \mathrm{DR}_{\widehat{X}^{\mathrm{an}}}^{\mathrm{mod}} \mathcal{M}, \mathbb{C}) \\
 &\simeq R\mathcal{H}om(\mathrm{DR}_{X^{\mathrm{an}}} \mathcal{M}, \mathbb{C}) \\
 &\simeq \mathrm{Sol} \mathcal{M}
 \end{aligned}$$

The second isomorphism comes from Poincaré-Verdier duality and the fact that  $\widetilde{\mathcal{L}}_1 \mathbb{C}[2 \dim X]$  is the dualizing sheaf of  $\widehat{X}^{\mathrm{an}}$ . The third isomorphism comes from the projection formula and the canonical identification [Sab00, II 1.1.8]

$$Rp_* \mathcal{A}_{\widehat{X}^{\mathrm{an}}}^{\mathrm{mod}} \simeq \mathcal{O}_{X^{\mathrm{an}}}(*D)$$

The last isomorphism comes from the duality theorem for  $\mathcal{D}$ -modules [Meb79][KK81]. By applying  $Rf_*^{\mathrm{an}}$ , we obtain for every  $k$  and every  $t \neq 0$  close enough to 0 the following commutative diagram

$$\begin{array}{ccc}
 (R^k f_*^{\mathrm{an}} \mathrm{Sol} \mathcal{M})_t & \xrightarrow{\sim} & (R^k (f^{\mathrm{an}} p)_* \mathrm{DR}_{\widehat{X}}^{\leq D} \mathcal{M}^*)_t \\
 \downarrow (1) & & \downarrow (6) \\
 H^k(X_t^{\mathrm{an}}, (\mathrm{Sol} \mathcal{M})_t) & & H^k(X_t^{\mathrm{an}}, (\mathrm{DR}_{\widehat{X}}^{\leq D} \mathcal{M}^*)_t) \\
 \downarrow (2) & & \downarrow (7) \\
 H^k(X_t^{\mathrm{an}}, \mathrm{Sol} \mathcal{M}_t) & & H^k(X_t^{\mathrm{an}}, \mathrm{DR}_{\widehat{X}_t}^{\leq D} \mathcal{M}_t^*) \\
 \downarrow (3) & & \downarrow \wr \\
 H^{-k}(X_t^{\mathrm{an}}, \mathrm{D} \mathrm{Sol} \mathcal{M}_t)^* & & H^k(X_t^{\mathrm{an}}, \mathrm{DR}_{\widehat{X}_t}^{\leq D} \mathcal{M}_t^*) \\
 \downarrow (4) & & \downarrow | \\
 H^{2d-2-k}(X_t^{\mathrm{an}}, \mathrm{DR} \mathcal{M}_t)^* & & H_{2d-2-k}^{\mathrm{rd}}(X_t^{\mathrm{an}}, \mathcal{M}_t^*) \\
 \downarrow (5) & & \downarrow | \\
 H^{2d-2-k}(U_t, \mathrm{DR} \mathcal{E}_t)^* & \xrightarrow{(8)} & H_{2d-2-k}^{\mathrm{rd}}(U_t, \mathcal{E}_t^*)
 \end{array}$$

By proper base change theorem, the morphisms (1) and (6) are isomorphisms. The morphism (2) is an isomorphism by non charactericity hypothesis. The morphism (3) is an isomorphism by Poincaré-Verdier duality. The morphism (4) is an isomorphism by duality theorem for  $\mathcal{D}$ -modules. The morphism (5) is an isomorphism by GAGA and exactness of  $j_{t*}$  where  $j_t : U_t \rightarrow X_t$  is the inclusion morphism. The morphism (8) is an isomorphism by Hien duality theorem. We deduce that (7) is an isomorphism.  $\square$

Let  $\mathbf{e} := (e_1, \dots, e_n)$  be a local trivialization of  $\mathcal{H}^k(f_+ \mathcal{E})(*0)$  in a neighbourhood of 0. One can suppose that  $f$  is smooth above  $S^* := S \setminus \{0\}$ . Set  $U^* := U \setminus \{f^{-1}(0)\}$ . From

[DMSS00, 1.4], we have an isomorphism of left  $\mathcal{D}_S$ -modules

$$\mathcal{H}^k(f_+\mathcal{E})|_{S^*} \simeq R^{k+d-1}f_*\mathrm{DR}_{U^*/S^*}\mathcal{E}$$

where the right hand side is endowed with the Gauss-Manin connection as defined in [KO68]. We deduce that  $(\mathbf{e}_t)_{t \neq 0}$  is an algebraic family of bases for the family of spaces  $(H_{\mathrm{dR}}^{k+d-1}(X_t, \mathcal{E}_t))_{t \neq 0}$ .

At the cost of shrinking  $S$ , Kashiwara perversity theorem [Kas75] shows that the only possibly non zero terms of the hypercohomology spectral sequence

$$E_2^{pq} = \mathcal{H}^p \mathrm{Sol} \mathcal{H}^{-q}(f_+\mathcal{E})|_{S^*}^{\mathrm{an}} \implies \mathcal{H}^{p+q} \mathrm{Sol}(f_+\mathcal{E})|_{S^*}^{\mathrm{an}}$$

sit on the line  $p = 0$ . Hence, at the cost of shrinking  $S$  again, we have

$$(6.3.4) \quad \mathrm{Sol} \mathcal{H}^k(f_+\mathcal{E})|_{S^*}^{\mathrm{an}} \simeq \mathcal{H}^0 \mathrm{Sol} \mathcal{H}^k(f_+\mathcal{E})|_{S^*}^{\mathrm{an}} \simeq \mathcal{H}^{-k} \mathrm{Sol}(f_+\mathcal{E})|_{S^*}^{\mathrm{an}}$$

Since  $\mathrm{Sol}$  is compatible with proper direct image, we deduce from (6.3.1) and (6.3.4)

$$(6.3.5) \quad \mathrm{Sol} \mathcal{H}^k(f_+\mathcal{E})|_{S^*}^{\mathrm{an}} \simeq R^{-k+d-1}f_* \mathrm{Sol}(j_+\mathcal{E})^{\mathrm{an}}$$

Let  $s : \mathcal{H}^k(f_+\mathcal{E})^{\mathrm{an}} \rightarrow \mathcal{O}_{S^{\mathrm{an}}}$  be a local section of  $\mathrm{Sol} \mathcal{H}^k(f_+\mathcal{E})^{\mathrm{an}}$  over an open subset of  $S^{*\mathrm{an}}$ . From (6.3.5) and 6.3.2, there exists a unique continuous family  $(\gamma_t)_{t \neq 0}$  of elements of the spaces  $(H_{2d-2-k}^{\mathrm{rd}}(U_t, \mathcal{E}_t^*))_{t \neq 0}$  inducing  $s$ , that is

$$s(e) : t \longrightarrow \int_{\gamma_t} e_t$$

for every  $e \in \mathcal{H}^k(f_+\mathcal{E})|_{S^*}$ . Hence, the vector function

$$t \longrightarrow \left( \int_{\gamma_t} e_{1t}, \dots, \int_{\gamma_t} e_{nt} \right)$$

satisfies the system of differential equations corresponding to  $\mathcal{H}^k(f_+\mathcal{E})$ , and theorem 5 is proved.

## References

- [AHV29] J.-M. Aroca, H. Hironaka, and J.-L. Vicente, *Desingularization theorems*, Memorias Mat. Inst. Jorge Juan **1975** (29).
- [BE04] S. Bloch and H. Esnault, *Homology for irregular connections*, Journal de Théorie des Nombres de Bordeaux **16** (2004).
- [BM89] E. Bierstone and P. Milman, *Uniformization of analytic spaces*, Journal of the American Mathematical Society **2** (1989).
- [CS89] P. Castro and C. Sabbah, *Sur les pentes d'un  $\mathcal{D}$ -module le long d'une hypersurface*, 1989.
- [Del70] P. Deligne, *Equations différentielles à points singuliers réguliers*, Lecture Notes in Mathematics, vol. 163, Springer-Verlag, 1970.
- [Del07] ———, *Lettre à Malgrange. 20 décembre 1983*, Singularités irrégulières (Société Mathématique de France, ed.), Documents Mathématiques, vol. 5, 2007.
- [Del11] ———, *Letter to V. Drinfeld*, Juin 2011.
- [DMSS00] A. Dimca, F. Maaref, C. Sabbah, and M. Saito, *Dwork cohomology and algebraic  $\mathcal{D}$ -modules*, Math. Ann. **378** (2000).

- [Gro66] A. Grothendieck, *On the de Rham cohomology of algebraic varieties*, Publications Mathématiques de l’IHES **29** (1966).
- [Hie07] M. Hien, *Periods for irregular singular connections on surfaces*, Mathematische Annalen **337** (2007).
- [Hie09] ———, *Periods for flat algebraic connections*, Inventiones Mathematicae **178** (2009).
- [HR08] M. Hien and C. Roucairol, *Integral representations for solutions of exponential Gauss-Manin systems*, Bull. Soc. Math. Fr. **136** (2008).
- [HTT00] R. Hotta, K. Takeuchi, and T. Tanisaki,  *$\mathcal{D}$ -Modules, Perverse Sheaves, and Representation Theory*, vol. 236, Birkhauser, 2000.
- [Kas75] M. Kashiwara, *On the maximally overdetermined systems of linear differential equations I*, Publ. RIMS **10** (1975).
- [Kas83] ———, *Vanishing cycle sheaves and holonomic systems of differential equations*, Algebraic Geometry (Springer, ed.), Lecture Notes in Mathematics, vol. 1016, 1983.
- [Kat70] N. Katz, *Nilpotent connections and the monodromy theorem: Applications of a result of Turrittin*, Publications Mathématiques de l’IHES **39** (1970).
- [Ked10] K. Kedlaya, *Good formal structures for flat meromorphic connections I: Surfaces*, Duke Math.J. **154** (2010).
- [Ked11] ———, *Good formal structures for flat meromorphic connexions II: excellent schemes*, J. Amer. Math. Soc. **24** (2011).
- [KK81] M. Kashiwara and T. Kawai, *On holonomic systems of micro-differential equations*, Publ. RIMS **17** (1981).
- [KO68] N. Katz and T. Oda, *On the differentiation of De Rham cohomology classes with respect to parameters*, J. Math. Kyoto Univ. **8** (1968).
- [Lau87] Y. Laurent, *Polygone de Newton et  $b$ -fonctions pour les modules micro-différentiels*, Ann. scient. Ec. Norm. Sup. **20** (1987).
- [LM99] Y. Laurent and Z. Mebkhout, *Pentes algébriques et pentes analytiques d’un  $\mathcal{D}$ -module*, Ann. scient. Ec. Norm. Sup. **32** (1999).
- [Mal83] B. Malgrange, *Polynômes de Bernstein-Sato et cohomologie évanescence*, Astérisque, vol. 101-102, 1983.
- [Mal96] ———, *Connexions méromorphes 2: Le réseau canonique*, Inv. Math. **124** (1996).
- [Mat80] H. Matsumura, *Commutative algebra. Second edition*, The Benjamin/Cummings Company, 1980.
- [Meb79] Z. Mebkhout, *Cohomologie locale des espaces analytiques complexes*, Ph.D. thesis, Univ. Paris VII, février 1979.
- [Meb90] ———, *Le théorème de positivité de l’irrégularité pour les  $\mathcal{D}_X$ -modules*, The Grothendieck Festschrift III, vol. 88, Birkhäuser, 1990.
- [Meb04] ———, *Le théorème de positivité, le théorème de comparaison et le théorème d’existence de Riemann*, Éléments de la théorie des systèmes différentiels géométriques, Cours du C.I.M.P.A., Séminaires et Congrès, vol. 8, SMF, 2004.
- [MM04] P. Maisonobe and Z. Mebkhout, *Le théorème de comparaison pour les cycles évanescents*, Éléments de la théorie des systèmes différentiels géométriques, Cours du C.I.M.P.A., Séminaires et Congrès, vol. 8, SMF, 2004.
- [Moc09] T. Mochizuki, *Good formal structure for meromorphic flat connections on smooth projective surfaces.*, Algebraic analysis and around in honor of Professor Masaki Kashiwara’s 60th birthday, Tokyo: Mathematical Society of Japan, 2009.

- [Moc11a] ———, *The Stokes structure of a good meromorphic flat bundle*, Journal of the Institute of Mathematics of Jussieu **10** (2011).
- [Moc11b] ———, *Wild Harmonic Bundles and Wild Pure Twistor  $\mathcal{D}$ -modules*, Astérisque, vol. 340, SMF, 2011.
- [MS89] Z. Mebkhout and C. Sabbah, *Le formalisme des six opérations de Grothendieck pour les  $\mathcal{D}$ -modules cohérents*, vol. 35, Hermann, 1989.
- [Sab00] C. Sabbah, *Equations différentielles à points singuliers irréguliers et phénomène de Stokes en dimension 2*, Astérisque, vol. 263, SMF, 2000.
- [Sab05] ———, *Polarizable twistor  $\mathcal{D}$ -modules*, Astérisque, vol. 300, SMF, 2005.
- [Sab08] ———, *An explicit stationary phase formula for the local formal Fourier-Laplace transform*, Singularities vol. 1 (Amer. Math. Soc., ed.), Contemp. Math., vol. 474, 2008.
- [Tey14] J.-B. Teyssier, *Sur une caractérisation des  $\mathcal{D}$ -modules holonomes réguliers*, To appear in Mathematical Research Letter, 2014.
- [Tey15a] ———, *Nearby slopes and boundedness for  $\ell$ -adic sheaves in positive characteristic. Preprint*, 2015.
- [Tey15b] ———, *Vers une catégorie de  $\mathcal{D}$ -modules holonomes d'irrégularité bornée*, Program for the application to CNRS, 2015.
- [Ver83] J.-L. Verdier, *Spécialisation de faisceaux et monodromie modérée*, Analyse et topologie sur les espaces singuliers II,III (Soc. Math. France, ed.), Astérisque, vol. 101-102, 1983.

---

J.-B. TEYSSIER, Freie Universität Berlin, Mathematisches Institut, Arnimallee 3, 14195 Berlin, Germany • *E-mail* : [teyssier@zedat.fu-berlin.de](mailto:teyssier@zedat.fu-berlin.de)